

April 2024

A Retiree provided us with this process to help recover your shares.

May 2020-05-11 – but still valid

How to recover lost or Escheated, American Express Share Certificate value

Escheated : the value of your share portfolio reverts to the State of New York in the event that Computershare has had no contact with the share owner over a number of years. This could be because you have not cashed any dividend cheques or have not maintained your Computershare account.

As a result, you will only ever receive the value of your portfolio at the time of Escheatment, NOT the value on the date of your claim.

By following this process, you will be able to recover your portfolio.

1	Email Computershare (shrrelations@cpushareownerservices.com) with your name and address. Ask if they have your shares, expect a reply in around 14 days which should show your share certificate numbers they have against your name with the detail as per my example below.
1.1	<p>You response should look something like this : “Thank you for contacting Computershare, the transfer agent for AMERICAN EXPRESS COMPANY. We appreciate the opportunity to be of service to you.</p> <p>In accordance with state unclaimed property laws this account has been closed. Our records indicate that shares and/or unclaimed checks were transferred to the state of incorporation listed below as unclaimed property.</p> <p>State law requires that all unclaimed property shall be remitted to the state of incorporation for accounts that contain an address outside of the United States if evidence of activity on the account does not occur for specified periods of time. Such time period may vary from state to state. For the state listed below, the required time period for activity on this account has expired. The file for escheatment was prepared by Computershare on MM / DD /YYYY and such files are generally transmitted to the state within thirty days. If that period has elapsed, you may file a claim for the property by contacting:</p> <p>Below is a list of the property that was escheated. Total Number of Shares Escheated: XXXX Total Dollar Amount of Checks Escheated: \$XXXXXX</p> <p>Our records indicate that certificate number in question in the amount of XXXX shares is no longer valid. That certificate was escheated on MM / DD / YYYY.</p> <p>We can confirm that the address on records at the time of escheatment was xxxxxxx UNITED KINGDOM Computershare Trust Company N.A. 462 South 4th Street Suite 1600</p>

	<p>Louisville, KY 40202 1-800-649-3593 or 1-201-680-6578 us.computershare.com/investor/Contact</p>
2	Email : NYSOUF@osc.ny.gov
2.1	<p>You should then receive an email : Thank you for contacting the Office of Unclaimed Funds.</p> <p>We do hold the funds reported by American Express. Please complete the attached forms & return by mail to establish a claim for the funds. We will also require proof of your current address & proof of the reported address - XXXXXXXXXXXX.</p> <p>Mailing address : Office of Unclaimed Funds 110 State Street Albany New York 12236</p>
3	You will be sent three forms and you need to complete the W-8BEN form for the UK Tax Treaty
4	<p>Complete the Hold Harmless Form – but do not sign at this stage. Complete the Bank Claim Form – again do not sign at this stage.</p> <p>These two forms will need a UK Notary to witness your signatory and verify and stamp these two documents. Any local solicitor will be able to do this for you. NOTE : we have also been advised that if the value of your portfolio is less than USD50,000, a Notary signature is not required.</p>
5	Do Not complete - The CIA Securities and Cash as this will be sent by the State Controller to Computershare.
6	<p>Send the documents.</p> <p>The recommendation is to use a courier such as UPS or FedEx for guaranteed Next Day delivery. This will incur a fee but will remove the uncertainty about whether it got there or not.</p>
7	The response takes time, you will have a choice of cash or to send your shares back to Computershare, but to do this you will need an account in Computershare.
8	For any further information or questions regarding the process please contact Computershare directly.